

File 347:JAPIO Oct 1976-2 2/Aug (Updated 021203)

(c) 2002 JPO & JAPIO

File 348:EUROPEAN PATENTS 1978-2002/Dec WO2

(c) 2002 European Patent Office

File 349:PCT FULLTEXT 1979-2002/UB=20021212,UT=20021205

(c) 2002 WIPO/Univentio

File 350:Derwent WPIIX 1963-2002/UD,UM &UP=200280

(c) 2002 Thomson Derwent

Set Items Description

S1 0 AU="HAMBERGERT W"

S2 9 AU="HANSSON O" OR AU="HANSSON OTHAR"

S3 48 AU="HAYES J"

S4 2 AU="HAYES JORDAN M"

S5 91 AU="KATZ A";AU="KATZ A Y" OR AU="KATZ ALAN HOWARD";AU="KATZ ALAN J"

S6 3 AU="OCHERET";AU="OCHERET CHARLES A"

S7 55 AU="REGAN M";AU="REGAN M T" OR AU="REGAN MATTHEW JAMES PATRICK"

S8 1 (S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7) AND AUCTION? AND - (INVEST? OR IPO OR BROKER?)

| Set | Items | Description |
|-----|--------|--|
| S1 | 2216 | AUCTION? OR METAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDD?) (SN)OFFER?) OR EBAY OR E()BAY OR REQUEST(3W-)QUOTE? OR RFQ |
| S2 | 194964 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 6 | INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OR (FIR- ST OR 1ST OR START?) (SN) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR E- QUITY OR EQUITIES)) |
| S4 | 39063 | (RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL?) (2N) (USE OR USI- NG OR USAGE OR USABILITY OR ACCESS? OR ENTRANCE OR ENTRY OR U- TILIZ?) |
| S5 | 546 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S6 | 296165 | SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W)BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S7 | 532 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S8 | 0 | S1 AND S2 AND (S4 OR S5) AND S6 AND S7 |
| S9 | 0 | S1 AND S2 AND (S4 OR S5) AND S6 |
| S10 | 27 | S1 AND S2 AND (S4 OR S5) |

| Set | Item | Description |
|-----|--------|---|
| S1 | 2216 | AUCTION? OR METAAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDD?) (EN)OFFER?) OR EBAY OR E()BAY OR REQUEST((3W- 1QUOTE? OR RFQ |
| S2 | 194964 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 7 | INITIAL()PUBLIC()OFFERING? OR CLOSED((1W)END())FUNDS OR (GO - OR GOING) ()PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL((1W)STOCK()CONVER? OR (FIRST OR 1ST OR START?) (ON) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES)) |
| S4 | 546 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 296168 | SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) ()IN OR INTERMEDIARY? OR REPRESENTATIVE? OR GO((1W)BETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S6 | 632 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S7 | 3 | S1 AND S3 |

| Set | Items | Description |
|-----|--------|---|
| S1 | 2873 | AUCTION? OR METAUCTION? OR MULTIAUCTION? OR DUTCHANCTION? OR ((BID OR BIDUT?) (SN)OFFER?) OR EBAY OR E()BAY OR REQUEST((3W-)QUOTE? OR RFQ |
| S2 | 146621 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 30 | INITIAL() PUBLIC()OFFERING? OR CLOSED((1W)END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL((1W) STOCK()) CONVER? OR ((FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2W) (STOCK? ? OR EQUITY OR EQUITIES)) |
| S4 | 2654 | (QUALIFIED OR AUTHORIZED OR AUTHORIZED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPRISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 628053 | SUBSTITUTT OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO((1W)BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S6 | 2609 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPRISTICATED OR SI- NGLE OR COLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S7 | 31 | S1 AND S2 AND S3 AND (S4 OR S5 OR S6) |
| S8 | 1 | (S1((10N)S3) AND S5 NOT S7 |
| S9 | 1 | S3 AND (S4(SN)S5) NOT (S7 OR S8) |
| S10 | 22 | S3 NOT (S7 OR S8 OR S9) AND IC-006P-017/60 |

| Set | Items | Description |
|-----|-------|---|
| S1 | 668 | AUCTION? OR METAAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDDER?) (SN)OFFER?) OR EBAY OR X()BAY OR REQUEST((W-)QUOTE? OR RFQ |
| S2 | 44059 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 130 | INITIAL() PUBLIC() OFFERING? OR CLOSED((W)END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGE? OR ACQUISITION?) OR MU- TUAL((W) STOCK() CONVEY? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES)) |
| S4 | 82 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 2441 | SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILLI) () IN OR INTERMEDIARY? OR REPRESENTATIVE? OR GO((W)BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S6 | 163 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S7 | 0 | S1 AND S2 AND S3 AND (S4 OR S5 OR S6) |
| S8 | 0 | S1 AND S3 AND (S4 OR S5 OR S6) |
| S9 | 1 | S1 AND S3 |
| S10 | S | S3 AND (S4 OR S5 OR S6) |

File 16:ABT/Inform(R) 19 2002/Dec 13
(c) 2002 ProQuest Info&Learning
File 9:Business & Industry(R) Jul/1994-2002/Dec 13
(c) 2002 Resp. DB Svcs.
File 610:Business Wire 1999-2002/Dec 13
(c) 2002 Business Wire.
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 275:Gale Group Computer DB(TM) 1983-2002/Dec 13
(c) 2002 The Gale Group
File 476:Financial Times Fulltext 1982-2002/Dec 13
(c) 2002 Financial Times Ltd
File 624:McGraw-Hill Publications 1988-2002/Dec 13
(c) 2002 McGraw-Hill Co. Inc
File 636:Gale Group Newsletter DB(TM) 1987-2002/Dec 13
(c) 2002 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2002/Dec 12
(c) 2002 The Gale Group
File 613:PR Newswire 1999-2002/Dec 13
(c) 2002 PR Newswire Association Inc
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
File 16:Gale Group PRMT(R) 1990-2002/Dec 13
(c) 2002 The Gale Group
File 160:Gale Group PRMT(R) 1972-1989
(c) 1999 The Gale Group
File 634:San Jose Mercury Jun 1986-2002/Dec 12
(c) 2002 San Jose Mercury News
File 148:Gale Group Trade & Industry DB 1976-2002/Dec 12
(c) 2002 The Gale Group
File 20:Dialog Global Reporter 1997-2002/Dec 13
(c) 2002 The Dialog Corp.
File 625:American Banker Publications 1981-2002/Dec 13
(c) 2002 American Banker
File 268:Banking Info Source 1981-2002/Dec 82
(c) 2002 ProQuest Info&Learning
File 626:Bond Buyer Full Text 1981-2002/Dec 13
(c) 2002 Bond Buyer
File 267:Finance & Banking Newsletters 2002/Dec 89
(c) 2002 The Dialog Corp.

| Set | Items | Description |
|-----|--------|---|
| S1 | 168009 | (AUCTION? OR METAAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR BID OR BIDD?) (3N) (ONLINE OR INTERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?) OR EBAY OR E(BAY) |
| S2 | 488806 | INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OR (GO - OR GOING)()PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR (P-IRST OR 1ST OR INITIAL?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? - OR EQUITY OR EQUITIES)) |
| S3 | 403738 | (RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL? OR EXCLUSIV?) (2- N) (USE OR USING OR USAGE OR USABILITY OR ACCESS? OR ENTRANCE - OR ENTRY OR UTILIZ?) |
| S4 | 457620 | (QUALIFIED OR AUTHORIZED OR AUTRORISED OR ACCEPTED OR PERMITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LARGE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 2843 | S4 (5N) (SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STANDING OR FILL) ()IN OR INTERMEDIARP? OR REPRESENTATIVE? OR GO (1W)B- BETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)) |
| S6 | S | S1 AND S2 AND S5 |
| S7 | 176 | S1 AND S2 AND S3 NOT S6 |
| S8 | 28 | ((S1(S)S2) AND S3) NOT S6 |

WEST Search History

DATE: Monday, April 01, 2002

| <u>Set Name</u> side by side | <u>Query</u> | <u>Hit Count</u> | <u>Set Name</u> result set |
|--|-------------------|------------------|-------------------------------|
| <i>DB=USPT,PGPR,JPAB,EPAB,DWPI,TDBD; PLUR=YES; OP=OR</i> | | | |
| L2 | ((705/37).L.COR.) | 326 | L2 |
| L1 | (705/37.cor)! | 0 | L1 |

END OF SEARCH HISTORY

P=UPDATED 7/18/2001

| Set | Items | Description |
|--|-------|---|
| S1 | 3 | TRIUS AND IPO |
| S2 | 70 | ((TREASURY(W)BILL? ?) AND (PRO(W)RATA)) |
| S3 | 54 | SD (unique items) |
| S4 | 283 | SS3 NOT PY>1999 |
| S5 | 37 | S3 NOT PY>1999 |
| File 636:Gale Group Newsletter DB(TM) 1987-2002/Mar 29 | | |
| | | (c) 2002 The Gale Group |
| File 621:Gale Group New Prod.Annu.(R) 1985-2002/Mar 29 | | |
| | | (c) 2002 The Gale Group |
| File 813:PR Newswire 1987-1999/Apr 30 | | |
| | | (c) 1999 PR Newswire Association Inc |
| File 14:Gale Group PROMT(R) 1990-2002/Mar 29 | | |
| | | (c) 2002 The Gale Group |
| File 160:Gale Group PROMT(R) 1972-1989 | | |
| | | (c) 1999 The Gale Group |
| File 148:Gale Group Trade & Industry DB 1976-2002/Mar 29 | | |
| | | (c)2002 The Gale Group |
| File 20:Dialog Global Reporter 1997-2002/Apr 61 | | |
| | | (c) 2002 The Dialog Corp. |
| ? | | |

| Set | Items | Description |
|------|-------|---|
| \$1 | 141 | PARITY AND (PRO(W) RATE) |
| \$2 | 77 | \$1 AND BOND? ? |
| \$3 | 6 | \$2 AND CLEARING |
| \$4 | 6 | RD (unique items) |
| \$5 | 1 | \$1 AND (CLEARING(W) PRICE? ?) |
| \$6 | 998 | (DISTRIBUT7(2N) (SHARE? ? OR EQUIT? OR BOND?);) AND (PRO(W) RATE) |
| \$7 | 26 | \$6 AND (TREASURY (W) BILL?) |
| \$8 | 12 | RD (unique items) |
| \$9 | 334 | IPO AND (PRO(W) DATA) |
| \$10 | 27 | \$9 AND AUCTION |
| \$11 | 13 | RD (unique items) |

File 636:Gale Group Newsletter DB(TM) 1987-2002/Mar 28
(c) 2002 The Gale Group

File 621:Gale Group New Prod.Annoc.(R) 1985-2002/Mar 28
(c) 2002 The Gale Group

File 813:PR Newswire 1987-1999/Apr 20
(c) 1999 PR Newswire Association Inc

File 146:Gale Group PRONET(R) 1990-2002/Mar 28
(c) 2002 The Gale Group

File 160:Gale Group PRONET(R) 1972-1989
(c) 1999 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2002/Mar 28
(c) 2002 The Gale Group

File 20:Dialog Global Reporter 1987-2002/Mar 29
(c) 2002 The Dialog Corp.

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DS; SHOW FILES

| Set | Items | Description |
|------|--------------------------------|--|
| S1 | 70 | WILLIAM(W)VICKREY |
| S2 | 21 | S1 AND AUCTION |
| File | 15:ABI/Inform(S) | 1971-2001/Jul 28 (c) 2001 Proquest Info&Learning |
| File | 9:Business & Industry(Z) | Jul/1994-2001/Jul 31 (c) 2001 Resp. DB Svcs. |
| File | 623:Business Week | 1985-2001/Jul 05 (c) 2001 The McGraw-Hill Companies Inc |
| File | 810:Business Wire | 1986-1999/Feb 28 (c) 1999 Business Wire |
| File | 275:Gale Group Computer DB(TM) | 1983-2001/Jul 30 (c) 2001 The Gale Group |
| File | 624:McGraw-Hill Publications | 1985-2001/Jul 31 (c) 2001 McGraw-Hill Co. Inc |
| ? | | |

Set Name

side by side

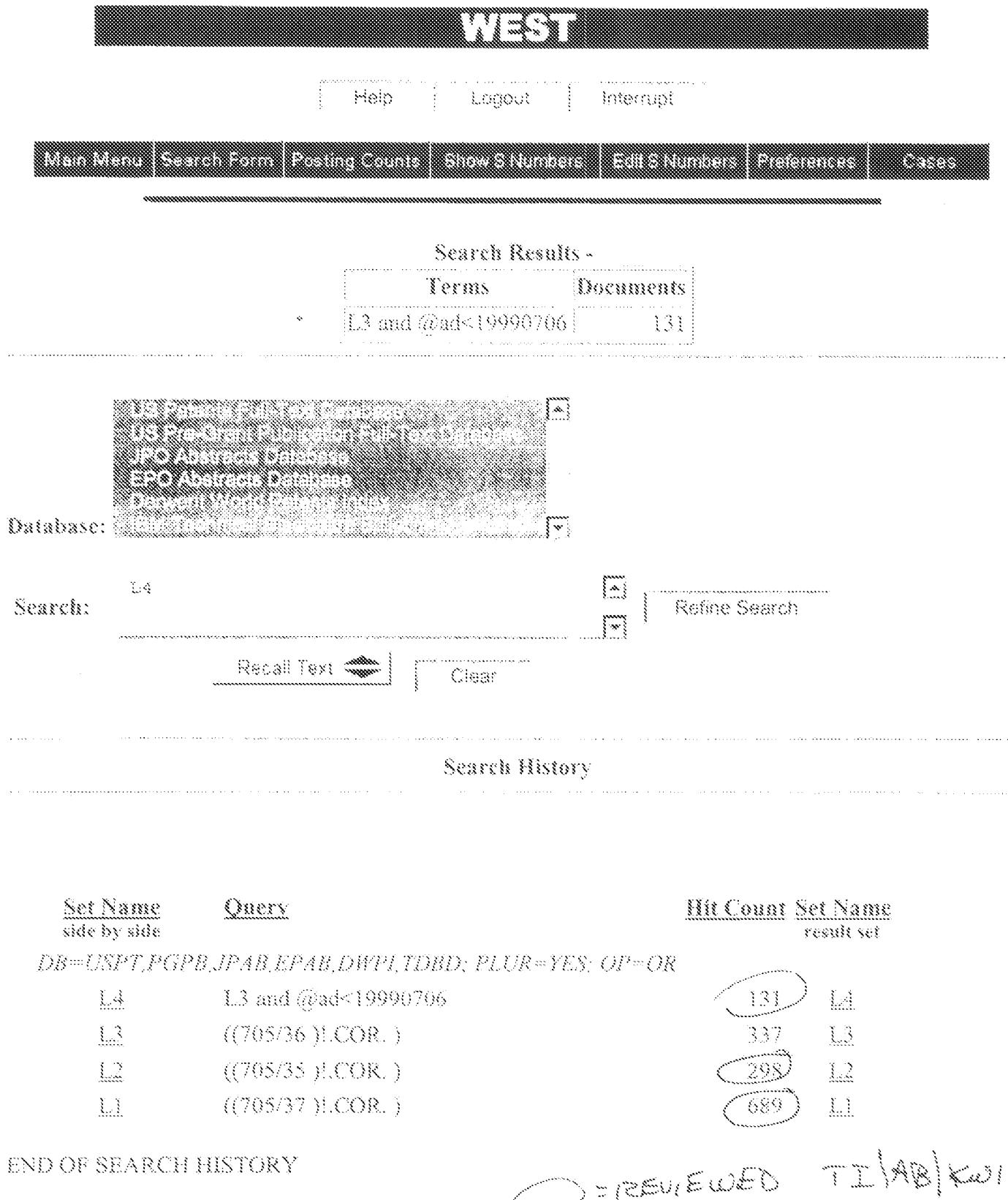
DB=USPT,PGPB,JPAB,EPAB,DWPL,TDBD; PLUR=YES; OP=OR

Hit Count

result set

| | | | |
|-----|--|-------|-----|
| L16 | L15 | 106 | L16 |
| L15 | (stock or equity) adj offer\$ | 106 | L15 |
| L14 | broker\$ same (qualified adj (investor or purchaser or buyer or participant)) | 4 | L14 |
| L13 | broker\$ same (IPO or (initial adj public adj offering)) | 6 | L13 |
| L12 | broker\$ near3 (IPO or (initial adj public adj offering)) | 0 | L12 |
| L11 | I9 and I7 | 68 | L11 |
| L10 | I9 and I9 | 14299 | L10 |
| L9 | (float\$ or issu\$ or sell\$ or distribut\$) near3 (stock or issue or security or share or equity) | 14299 | L9 |
| L8 | L7 and (qualified or accredited) | 39 | L8 |
| L7 | IPO or (initial adj public adj offering) | 999 | L7 |
| L6 | Mcredmond and abokhair | 1 | L6 |
| L5 | qualified adj (investor or purchaser or buyer or participant) | 92 | L5 |
| L4 | L3 and @ad<19990706 | 131 | L4 |
| L3 | ((705/36)!.COR.) | 337 | L3 |
| L2 | ((705/35)!.COR.) | 298 | L2 |
| L1 | ((705/37)!.COR.) | 689 | L1 |

END OF SEARCH HISTORY



END OF SEARCH HISTORY

$\mathcal{O} = \text{REU/SEwED} \quad \text{TI} \setminus \text{AB} \setminus \text{KwIC}$